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APPI ICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/055,797

01/22/2002

David Beach

GNCA-P03-007

28120 **ROPES & GRAY** ONE INTERNATIONAL PLACE BOSTON, MA 02110-2624



**CONFIRMATION NO. 7431 FORMALITIES LETTER** \*OC000000007554871

Date Mailed: 02/28/2002

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

#### FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$986.
  - \$594 for 66 total claims over 20.
  - \$252 for 6 independent claims over 3.
  - \$140 for multiple dependent claim surcharge
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter
- The balance due by applicant is \$ 1421.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm (3/8 inch),
- drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1 84(e)), 10/07/2002 JBALINAN 00000082 181945 10055797

370.00 CH 01 FC:201 02 FC:203 594.00 CH 140.00 CH 03 FC:204 65.00 CH 04 FC:205

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This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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I hereby certify that this correspondence is being deposited with the U S Postal Service with sufficient postage as First Class Mail, in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on the date shown below

Dated. September 30, 2002

ignature (Brent LaBarge)

Docket No.: GNCA-P03-007

(PATENT)

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Beach et al.

Application No.: 10/055797

Group Art Unit: 1638

Filed: January 22, 2002

Examiner: Not Yet Assigned

For: METHODS AND COMPOSITIONS FOR RNA

INTERFERENCE

# RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts Commissioner for Patents Washington, DC 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date Granted mailed February 28, 2002, Applicants respectfully submit a Combined Declaration and Power of Attorney, the Filing Fee for the Application (as shown on accompanying Fee Transmittal), Substitute Drawings (47 figures, 47 pages) which are in compliance with the physical requirements of 37 CFR 1.84, and a copy of the documents associated with the electronic filing of the Sequence Listing, satisfying the requirements of 37 CFR 1.821.

Please charge our Deposit Account No. 18-1945 in the amount of \$2401.00 covering the fee set forth in 37 CFR 1.16(e) and the filing fee for the subject application. The Commissioner is hereby authorized to credit any overpayment or charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in

1

Application No.: 10/055797 Docket No.: GNCA-P03-007

this application by this firm) to our Deposit Account No. 18-1945, under Order No. GNCA-P03-007. A duplicate copy of this paper is enclosed.

Dated: September 30, 2002

Respectfully submitted,

David P. Halstead

Registration No.: 44,735

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